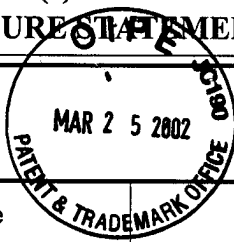


**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
AIREX 00.01

In Re Application Of: **CARROLL ET AL**



Serial No.
09/990,838

Filing Date
NOVEMBER 14, 2001

Examiner

Group Art Unit
2834

Invention:

INTEGRATED MAGNETIC BEARING

RECEIVED
MAR 28 2002
TC 2830 MAIL ROOM

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

A handwritten signature in black ink, appearing to be "Edmund P. Pfleger".

Signature

**Edmund P. Pfleger
Attorney for Applicant
Reg. No. 41,252**

Dated:

3/15/02

Certificate of Mailing by First Class Mail

I certify that this document is being deposited on
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20231.

A handwritten signature in black ink, appearing to be "April Davis".
Signature of Person Mailing Correspondence

April Davis

Typed or Printed Name of Person Mailing Correspondence

CC:



Op 2834

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: CARROLL ET AL
SERIAL NO.: 09/990,838
FILED: NOVEMBER 14, 2001
FOR: INTEGRATED MAGNETIC BEARING
GROUP: 2834

*IPR
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5-3002*

EXAMINER:

DOCKET: AIREX 00.01

Assistant Commissioner for Patents & Trademarks
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In connection with the above-entitled matter, Applicant wishes to bring to the attention of the Patent Office references received in connection with a Novelty Search of Applicant's corresponding PCT application. Attached is U.S. Patent Office Form PTO-1449, including copies of the references listed therein, and a copy of the Search Report. The claims in the present application are believed to be patentably distinguished over these references.

This information disclosure statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR 1.56(a). No representation is made that the information thus disclosed in fact constitutes prior art or that it is the closest prior art, inasmuch as 37 CFR 1.56(a) relies on a materiality concept which depends on subjectivity.

The enclosed Information Disclosure Statement is being submitted within three months of receipt of the International Search Report, as certified in the attached Certification for Information Disclosure Statement. Therefore, we believe there are no fees involved with this

information disclosure statement. However, in the event there are any fees payable, please charge them to our Deposit Account No. 502121.

Respectfully submitted,



Edmund Paul Pfleger
Attorney for Applicant
Registration No. 41,252

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 14, 2002 at Manchester, New Hampshire.

By: April Dorey